

FORM NL-9A-SHAREHOLDING PATTERN SCHEDULE

Name of the Insurer: Zurich Kotak General Insurance Company (India) Limited (Formerly Known as Kotak Mahindra General Insurance Company Limited)
Registration No. 152 and Date of Registration with the IRDAI November 18, 2015

ANNEXURE A



DETAILS OF EQUITY HOLDING OF INSURERS

PART A:

PARTICULARS OF THE SHREHOLDING PATTERN OF ZURICH KOTAK GENERAL INSURANCE COMPANY (INDIA) LIMITED (FORMERLY KNOWN AS KOTAK MAHINDRA GEBERAL INSURANCE COMPANY LIMITED)
AS AT QUARTER ENDED September 30, 2024

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)			(VIII)	(IX)
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):								
ii)	Bodies Corporate:								
iii)	Financial Institutions/ Banks								
	i) Kotak Mahindra Bank Limited	1	321,818,405	30%	32,182	-	-	-	-
iv)	Central Government/ State Government(s) / President of India								
v)	Persons acting in concert (Please specify)								
w)	Any other (Please specify)								
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):								
ii)	Bodies Corporate:								
iii)	Any other (Please specify)	1	750,909,614	70%	75,091	-	-	750,909,614	100%
	i) Zurich Insurance Company Limited								
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds								
ii)	Foreign Portfolio Investors								
iii)	Financial Institutions/Banks								
iv)	Insurance Companies								
v)	FII belonging to Foreign promoter								
vi)	FII belonging to Foreign Promoter of Indian Promoter								
vii)	Provident Fund/Pension Fund								
viii)	Alternative Investment Fund								
ix)	Any other (Please specify)								
1.2)	Central Government/ State Government(s)/ President of India								
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs								
ii)	Individual share capital in excess of Rs. 2 Lacs								
iii)	NBFCs registered with RBI								
iv)	Others:								
	- Trusts								
	- Non Resident Indian								
	- Clearing Members								
	- Non Resident Indian Non Repatriable								
	- Bodies Corporate								
	- IEPF								
v)	Any other (Please Specify)								
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder								
2.2)	Employee Benefit Trust								
2.3)	Any other (Please specify)								
Total			1,072,728,019	100%	107,273	-	-	750,909,614	70%

Foot Notes:

(a) All holdings, above 1% of the paid up equity, have to be separately disclosed.

(b) Indian Promoters - As defined under Regulation 2(1)(a) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000

(c) Where a company is listed, the column "Shares pledged or otherwise encumbered" shall not be applicable to "Non Promoters" category

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) / INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE



PART B:

Name of the Indian Promoter / Indian Investor: Kotak Mahindra Bank Limited
AS AT QUARTER ENDED September 30, 2024

(Please repeat the tabulation in case of more than one Indian Promoter / Indian Investor)

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered	Shares under Lock in Period		
(I)	(II)		(III)	(IV)	(V)	Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):								
	(i) Uday Suresh Kotak	1	511,027,100	25.71%	25,551.36	-	-	-	-
	(ii) Sunesh A Kotak (HUF)	1	110,000	0.01%	5.50	-	-	-	-
	(iii) Pallavi Kotak	1	1,111,580	0.06%	55.58	-	-	-	-
	(iv) Suresh Amritlal Kotak	1	100,000	0.01%	5.00	-	-	-	-
	(v) Indra Suresh Kotak	1	2,000,000	0.10%	100.00	-	-	-	-
	(vi) Janki Dinkarra Desai	1	19,766	0.00%	0.99	-	-	-	-
iii)	Bodies Corporate:								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks								
iv)	Central Government/ State Government(s) / President of India								
v)	Persons acting in concert (Please specify)								
vi)	Any other (Please specify)								
	(i) KOTAK TRUSTEE COMPANY PVT. LTD (These shares are held by Kotak Trustee Company Pvt. Ltd. as a trustee for USK Benefit Trust – II of which, Mr. Uday Suresh Kotak is the sole beneficiary)	1	44,000	0.00%	2.20	-	-	-	-
	KOTAK TRUSTEE COMPANY PVT. LTD (These shares are held by Kotak Trustee Company Pvt. Ltd. as a trustee for USK Benefit Trust – III of which, Mr. Uday Suresh Kotak is the sole beneficiary)	1	190,356	0.01%	9.52	-	-	-	-
	Infina Finance Private Limited	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):								
	(i) Anri Neal Chandaria	1	57,360	0.00%	2.87	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
iii)	Any other (Please specify)								
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	40	331763653	16.69%	16588.18	4776347	1.44%	-	-
ii)	Foreign Portfolio Investors	1186	630945925	31.74%	31547.30	-	0.00%	-	-
iii)	Financial Institutions/Banks	11	977439	0.05%	48.87	-	0.00%	-	-
iv)	Insurance Companies	38	193704737	9.74%	9685.24	-	0.00%	-	-
v)	FII belonging to Foreign promoter of Indian Promoter (a)	-	-	0.00%	0.00	-	0.00%	-	-
vi)	FII belonging to Foreign promoter of Indian Promoter (e)	-	-	0.00%	0.00	-	0.00%	-	-
vii)	Provident Fund/Pension Fund	1	19706231	0.99%	985.31	-	0.00%	-	-
viii)	Alternative Investment Fund	45	5903174	0.30%	295.16	102392	1.73%	-	-
ix)	Foreign Portfolio Investors Category II	-	-	0.00%	0.00	-	0.00%	-	-
	Foreign Institutional Investors	5	200775	0.01%	10.04	-	0.00%	-	-
	Qualified Institutional Buyers (QIBs)	2	2194408	0.11%	109.72	-	0.00%	-	-
	Foreign Bank	2	32813072	1.65%	1640.65	-	0.00%	-	-
1.2)	Central Government/ State Government(s)/ President of India								
		-	-	0.00%	0.00	-	0.00%	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	701886	8889888	4.47%	4444.99	-	0.00%	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	303	84896617	4.27%	4244.83	3270701	3.85%	-	-
iii)	NBFCs registered with RBI	15	731644	0.04%	36.58	1014	0.14%	-	-
iv)	Others:								
	- Trusts	60	280734	0.01%	14.04	2222	0.79%	-	-
	- Non Resident Indian	9958	5074006	0.26%	253.70	43676	0.86%	-	-
	- Clearing Members	16	55718	0.00%	2.79	-	0.00%	-	-
	- Non Resident Indian Non Repatriable	8555	5456396	0.27%	272.82	-	0.00%	-	-
	- Bodies Corporate	3288	35751110	1.80%	1787.56	1723931	4.82%	-	-
	- IEPF	1	3433690	0.17%	171.68	-	0.00%	-	-
v)	Any other (Please Specify)								
	- Directors and their relatives (excluding independent directors and nominee directors)	6	3421320	0.17%	171.07	-	0.00%	-	-
	- KMP	1	132959	0.01%	6.65	-	0.00%	-	-
	Foreign Corporate Bodies	1	24719831	1.24%	1235.99	-	0.00%	-	-
	- Hindu Undivided Family	14326	2396523	0.12%	119.83	407673	17.01%	-	-
	Foreign National	-	-	0.00%	0.00	-	0.00%	-	-
	Clearing Members	-	-	0.00%	0.00	-	0.00%	-	-
	Foreign Portfolio Investors (Individuals)	-	-	0.00%	0.00	-	0.00%	-	-
	Overseas Corporate Bodies	-	-	0.00%	0.00	-	0.00%	-	-
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder								
		-	-	0.00%	0.00	-	0.00%	-	-
2.2)	Employee Benefit Trust								
		-	-	0.00%	0.00	-	0.00%	-	-
2.3)	Any other (Please specify)								
		-	-	0.00%	0.00	-	0.00%	-	-
Total		739,755	1,988,120,012	100%	99,406	18,639,060	40.00%	-	-